



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/380932	FIRTH	G 28911/35930

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INTERNATIONAL APPLICATION NO.	
PCT/GB98/00840	
I.A. FILING DATE	PRIORITY DATE
20 MAR 98	21 MAR 97
DATE MAILED: 29 DEC 1999	

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),  
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☐ a non-English language.

☒ English.

☒ Translation of the international application into English.

☐ Oath or Declaration of inventor(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☒ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 10 SEP 1999 and

☐ Information Disclosure Statement(s) filed and

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed

☐ Verified Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice *MUST* be returned with this response.**

Enclosed:

☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Paulette Kidwell Paralegal

Telephone: 703-305-3656



520 Rec'd PCT/PTO 18 JAN 2000

PCT # 3  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	)	
	)	
Greg Firth	)	
	)	
U.S. National Phase (35 USC 371)	)	Title: Extraction and Utilisation
Application Based on	)	of VNTR Alleles
PCT/GB98/00840 filed 20 March	)	
1998	)	
	)	
Serial No.: 09/380,932	)	
	)	
Filed: September 10, 1999	)	

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

**Assistant Commissioner for Patents**  
**Box PCT**  
**Washington, D.C. 20231**

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) issued by the Patent and Trademark Office on December 29, 1999, submitted herewith is a copy of the Transmittal of Executed Declaration filed

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**CERTIFICATE OF MAILING (37 CFR 1.8)**

I hereby certify that this paper and the documents referred to as enclosed therewith are being deposited with the United States Postal Service as first class mail, postage prepaid, on January 11, 2000 in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

  
\_\_\_\_\_  
Jeffrey S. Sharp

by Express Mail filing procedure on November 18, 1999 and a copy of the return receipt postcard date stamped by the Patent and Trademark Office. Also enclosed is a copy of the Notification of Missing Requirements.

Respectfully submitted,

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By: 

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January 11, 2000

28911/35930

111899-11

The Patent Office is hereby requested to acknowledge receipt  
of the following papers by stamping and returning this card.

Firth

09/380,932

Transmittal of Executed Declaration

w/ Certificate of Mailing by U.S.P.S. Express Mail  
dated November 18, 1999 Label No. EM362733914US

410 Rec'd PCT/PTO 18 NOV 1999



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